Format of Holding of Specified securities

1. Name of Listed Entity: GOENKA DIAMOND & JEWELS LIMITED

3.

- 2. Scrip Code/Name of Scrip/Class of Security:533189,GOENKA,EQUITY SHARES
 - Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
 - a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2017
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:

• •	2 constitution : The Listed child; is required to dustrict the remaining decide dustrict to the distance of dustrict of the du		
	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		
С	Whether the Listed Entity has any shares against which depository receipts are issued?		
d	Whether the Listed Entity has any shares in locked-in?		
е	Whether any shares held by promoters are pledge or otherwise encumbered?		

*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	Shareholders		paid-up equity shares held	Underlying Depository	Shares Held (VII) =	Shareholding as a % of total no of shares (As a % of (A+B+C2))	securities L C c c			Underlying Outstanding convertible securities (Including Percentage of Warrants) diluted share capital)		Underlying assuming full convertion of convertible securities Securities (as a (Including percentage of diluted share capital)			Numbe pledged otherw encumb	ise	Number of equity shares held in dematerializ ed form
								5 5			Total as a % of (A+B+C)			No.	As a % of total Shares held		As a % of total Shares held	
(1)	(11)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)		(X)	(XI)	()	(II)		(XIII)	(XIV)
(A)	Promoter & Promoter Group	7	182429250	0	0	182429250	57.55	182429250	c	182429250	57.55	0	57.55	0	0.00	0	0.00	182429250
(B)	Public	11606	134570750	0	0	134570750	42.45	134570750	C	134570750	42.45	0	42.45	0	0.00	NA	NA	134570385
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	C	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	0	0	0	0	0	0.00	0	C	ο α	0.00	0	0.00	0	0.00	NA	NA	0
	Total:	11613	317000000	0	0	317000000	100.00	317000000	C	317000000	100.00	0	100.00	0	0.00	0	0.00	316999635

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder		No of Shareholders	equity shares held	Partly paid-	Underlying Depository	Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2	securities	oting Rights held in ea	Total as a % of (A+B+C)	No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Shares	As a % of total Shares held	encumbe	or otherwise	Number of equity shares held in dematerializ ed form
									Class X	Class Y Total								
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)		(IX)	1	(X)	(XI)	()	(II)		(XIII)	(XIV)
(1)	Indian												+		<u> </u>		<u> </u>	-
(a)	Individuals/Hindu undivided Family		7	182429250	n	0	182429250	57.55	182429250	0 18242925	0 57.55		57.55		0.00	, ,	0.00	182429250
(8)	NAND LAL GOENKA HUF .	AAFHN4984F	1	34200000	0	0	34200000		34200000	0 3420000							+	
	NAMITA JAIN	AAFPJ5471M	1	18750	0	0	18750	0.01	18750	0 18750					0.00		0.00	
	NANDLAL SHUBHKARAN		_			_		0.02			-			1				
	GOENKA	ACUPG6553A	1	5600625	0	0	5600625	1.77	5600625	0 560062	5 1.77	'	1.77	, (0.00) (0.00	5600625
	NIRMALA NANDLAL GOENKA	ACUPG6554H	1	51326250	0	0	51326250	16.19	51326250	0 5132625	0 16.19	,	16.19	ر ا	0.00		0.00	51326250
	NAVNEET GOENKA	AEJPG4242H	1	91126875	0	0	91126875	28.75	91126875	0 9112687) (0.00	
	DHRITI SINGHVI	AOGPS8935Q	1	138000	0	0	138000	0.04	138000	0 138000				C			0.00	
	NEETA SARAF	AZBPS1234Q	1	18750	0	0	18750	0.01	18750	0 18750	0.01		0.01		0.00) (0.00	18750
	Central Government/State																	
(b)	Government(s)		0	0	0	0	0	0.00	C	0 (0.00	(0.00	0	0.00) (0.00	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	c	0	0.00		0.00	0 0	0.00) (0.00	0
(d)	Any Other		0	0	0	0	0	0.00	C	0 (0.00		0.00	0	0.00) (0.00	0
	Sub-Total (A)(1)		7	182429250	0	0	182429250	57.55	182429250	0 18242925	0 57.55	(57.55	C	0.00) (0.00	182429250
(2)	Foreign												<u> </u>				1	
	Individuals (Non-Resident Individuals/Foreign																	
(a)	Individuals		0	0	0	0	0	0.00	C	0 (0.00				0.00		0.00	
(D)	Government Institutions		0	0	0	0	0	0.00		0 (0.00				0.00			
(C)	IIISULUUUIIS		0	0	0	0	0	0.00	C	0 (0.00	'	0.00	1	0.00	, (0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	C	0 (0.00)	0.00) (0.00) (0.00	0
(e)	Any Other		0	0	0	0	0	0.00	C	0 (0.00) (0.00) (0.00) (0.00	0 0
	Sub-Total (A)(2) Total Shareholding of		0	0	0	0	0	0.00	O	0 (0.00)	0.00	0	0.00) (0.00	0
	Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	182429250	0	0	182429250	57.55	182429250	0 18242925	0 57.55	j (57.55	i c	0.00		0.00	182429250

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of	No of fully	No of Partly	No of	Total No of	Shareholding	olding Number of Voting Rights held in each			No of Shares	Shareholding	Number of Locked in		d in Number of Shares		Number of	
			Shareholders	paid up	paid-up	Shares	Shares Held	as a % of total	class of securities			Underlying	as a %	Shares		pledged or otherwise		equity shares	
				equity	equity shares	Underlying	(IV+V+VI)	no of shares					Outstanding	assuming full			encumber	ed	held in
				shares	held	Depository		(A+B+C2)					converttible	conversion of					dematerialized
				held		Receipts							securities	convertible					form
													(Including	Securities (as a					
													Warrants)	percentage of					
														diluted share					
														capital)					
									No of Vo	nting Ric	rhte	Total as a %			No.	As a %	No.	As a % of	
									140 01 40	oting itig		of (A+B+C)				of total	-	total Shares	
												0. (/./5/0)				Shares		held	
									Class X CI	lacc V	Total								
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X Cl		(IX)		(x)	(XI)	(XII)			(XIII)	(XIV)
(1)	Custodian/DR Holder	` '	0	` 0	0	` ′ ′) , ,	0.00	0	0	0	0.00		0.00		0.00		NA	0
	Employee Benefit Trust (under SEBI(Share based		_																
	Employee Benefit) Regulations 2014)		0	0	0	C	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	. ,																		
	Total Non-Promoter-Non Public Shareholding (C) =																		
	(C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00)		0

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholdin g as a % of total no of shares (A+B+C2)	Number of of securitie		ghts held in e	each class	No of Shares Underlying Outstanding converttible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	6 Number of Locked in Shares		Number of Sh otherwise end	Number of equity shares held in dematerialized form	
									No	of Voting I	Rights	Total as a % of (A+B+C)				As a % of total Shares held	No.	As a % of total Shares held	
									Class X	Class Y	Total								1
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)		(X)	(XI)	(x	(II)		XIII)	(XIV)
(1)	Institutions	(,		(10)	(*/	(*.,	(0)	(*,		· '	(17,)		(^/	(24)	120	i.,	'	XIII,	(2014)
(a)	Mutual Funds		0)		0.00	0	0	0	0.00		0.00	0	0.00	NΑ	NA	0
(b)	Venture Capital Funds		0) (0.00			0	0.00		0.00	0			NA	0
(c)	Alternate Investment Funds	i	0		1) (0	0.00			0	0.00		0.00	0	0.00		NA	0
		i	1	<u> </u>	<u> </u>	1	<u> </u>	3.00	i i	t – Ť	İ	2.00	1	0.00	Ť	0.00	1	1	1 1
(d)	Foreign Venture Capital Investors		0			0		0.00	0	0	0	0.00		0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	İ	0	C			0	0.00		0	0	0.00		0.00	0			NA	0
(f)	Financial Institutions/Banks		2	38937373	3 () (38937373	12.28	38937373	0	38937373	12.28	s c	12.28	0	0.00	NA	NA	38937373
	GENERAL INSURANCE																		
	CORPORATION OF INDIA	AAACG0615N	1	5993904	. (0 0	5993904	1.89	5993904	0	5993904	1.89	o c	1.89	0	0.00	NA	NA	5993904
	PUNJAB NATIONAL BANK	AAACP0165G	1	32943469) () (32943469	10.39	32943469	0	32943469	10.39) C	10.39	0	0.00	NA	NA	32943469
(g)	Insurance Companies		0	C) () (C	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	·																		
(h)	Provident Funds/Pension Funds		0	C) (0	C	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																		
	Sub Total (B)(1)		2	38937373	3	0	38937373	12.28	38937373	0	38937373	12.28	3 0	12.28	0	0.00	NA	NA	38937373
	Central Government/State																		
(2)	Government(s)/President of India		0	C	(0	0	0.00		0	0	0.00		0.00		0.00		NA	0
	Sub Total (B)(2)		0	0	(0	0	0.00	0	0	0	0.00) c	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																		
	i.Individual shareholders holding																		
	nominal share capital up to Rs.2																		
(a)	lakhs		11258	48330027	() (48330027	15.25	48330027	0	48330027	15.25	0	15.25		0.00	NA	NA	48329662
	ii.Individual shareholders holding																		
	nominal share capital in excess of																l		
	Rs. 2 Lakhs		44	28836943	(, ,	28836943	9.10	28836943	0	28836943	9.10	0	9.10		0.00	NA	NA	28836943
0.3	NDSC D. C.		-	2262			2262	0.04	22524		22624	0.04		0.04		0.00			22524
(D)	NBFCs Registered with RBI Employee Trusts		2	22624	1 .) (22624	0.01		0	22624	0.01		0.01	0	0.00		NA NA	22624
(C)	Overseas Depositories (Holding	 	- 0		' '	, (0	0.00	0	1 0	0	0.00	1	0.00	0	0.00	INA	NA	- 0
(d)	DRs)(Balancing figure)	l		,				0.00		_	_	0.00		0.00	_	0.00	NA	NA	
(a)	Any Other	1	1	1	 	1	-	0.00	1	1	- 0	0.00	1	0.00	1	0.00	13/5	INA.	+ "
(-)	TRUSTS	 	1	500	1	1	500	0.00	500	0	500	0.00	1	0.00	0	0.00	NΑ	NA	500
	NON RESIDENT INDIANS	 	82			1	1967163	0.62			1967163	0.62		0.62	0	0.00		NA	1967163
	CLEARING MEMBERS	1	82	208257	,) (208257	0.02		0	208257	0.02			0			NA	208257
	NON RESIDENT INDIAN NON	1	<u> </u>	200257	<u> </u>		200257	3.07	200257	t	200257	5.07	<u> </u>	0.07	Ť	0.00	f	1	200257
	REPATRIABLE	l	18	165498	3	o	165498	0.05	165498	0	165498	0.05	i .	0.05	0	0.00	NA	NA	165498
	BODIES CORPORATES	İ	191	16102365	1		16102365	5.08			16102365	5.08		5.08	0			NA	16102365
	Sub Total (B)(3)	İ	11604		, ,) 0	95633377	30.17			95633377	30.17		30.17				1	95633012
	Total Public Shareholding (B) =				Ì	1				Ī						1			
	(B)(1)+(B)(2)+(B)(3)	1	11606	134570750) (134570750	42.45	134570750	0	134570750	42.45		42.45	0	0.00	ol .	1	134570385