## Format of Holding of Specified securities

| 1. | Name of Listed Entity:GOENKA DIAMOND \& JEWELS LIMITED |
| :---: | :---: |
| 2. | Scrip Code/Name of Scrip/Class of Security:533189,GOENKA,EQUITY SHARES |
| 3. | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c) <br> a. if under $31(1)(b)$ then indicate the report for quarter ending 30/09/2017 <br> b. if under 31(1)(c) then indicate date of allotment/extinguishment |
| 4. | Declaration : The Listed entity is required to submit the following declaration |
|  | Particulars |
| a | Whether the Listed Entity has issued any partly paid up shares |
| b | Whether the Listed Entity has issued any Convertible Securities or Warrants? |
| c | Whether the Listed Entity has any shares against which depository receipts are |
| d | Whether the Listed Entity has any shares in locked-in? |
| e | Whether any shares held by promoters are pledge or otherwise encumbered? |
|  | *if the Listed Entity selectes the option 'NO' for the questions above, the colum Securities/Warrants, depository receipts, locked-in shares, No of shares applicable, shall not be displayed at the time of dissemination on the Stock by Listed entity in above table the values will be considered as 'Zero' by defaut securities. |
| 5 | The tabular format for disclosure of holding of specified securities is as follows: |

## Table I - Summary Statement holding of specified securities



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Category | Category \& Name of the Shareholder | PAN | No of Shareholders | No of fully paid up equity shares held | No of <br> Partly paid- <br> up equity <br> shares held | No of Shares Underlying Depository Receipts | Total No of Shares Held ( $\mathrm{IV}+\mathrm{V}+\mathrm{VI}$ ) | $\begin{aligned} & \text { Shareholding } \\ & \text { as a \% of total } \\ & \text { no of shares } \\ & \text { (calculated as } \\ & \text { per SCRR, } \\ & 1957 \text { (VIII) As } \\ & \text { a \% of } \\ & \text { (A+B+C2 } \end{aligned}$ | Number of Vo securities | oting Rights | held in each | class of | No of Shares <br> Underlying <br> Outstanding <br> convertible <br> securities <br> (Including <br> Warrants) | Shareholding <br> as a \% <br> assuming full <br> conversion of <br> convertible <br> Securities (as a <br> percentage of <br> diluted share <br> capital) <br> (VII)+(X) As a \% <br> of (A+B+C2) | Number of Locked in Shares |  | Number of Shares pledged or otherwise encumbered |  | Number of equity shares held in dematerializ ed form |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  | No of | Voting Rig |  | Total as a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C}$ ) |  |  | No. | As a \% of total Shares held | No. | $\begin{array}{\|l\|} \text { As a \% of } \\ \text { total Shares } \\ \text { held } \end{array}$ |  |
|  |  |  |  |  |  |  |  |  | Class X | Class Y | Total |  |  |  |  |  |  |  |  |
|  | (I) | (II) | (III) | (IV) | (v) | (VI) | (VII) | (VIII) |  | (IX) |  |  | (x) | (XI) |  | (XI) |  | (XIII) | (XIV) |
| (1) | Indian |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals/Hindu undivided Family |  | 7 | 182429250 | 0 | 0 | 182429250 | 57.55 | 182429250 | 0 | 182429250 | 57.55 | 0 | 57.55 | 0 | 0.00 | 0 | 0.00 | 182429250 |
|  | NAND LAL GOENKA HUF. | AAFHN4984F | 1 | 34200000 | 0 | 0 | 34200000 | 10.79 | 34200000 | 0 | 34200000 | 10.79 | 0 | 10.79 | 0 | 0.00 | 0 | 0.00 | 34200000 |
|  | NAMITA JAIN | AAFPJ5471M | 1 | 18750 | 0 | 0 | 18750 | 0.01 | 18750 | 0 | 18750 | 0.01 | 0 | 0.01 |  | 0.00 | 0 | 0.00 | 18750 |
|  | NANDLAL SHUBHKARAN GOENKA | ACUPG6553A | 1 | 5600625 | 0 | 0 | 5600625 | 1.77 | 5600625 | 0 | 5600625 | 1.77 | 0 | 1.77 | 0 | 0.00 | 0 | 0.00 | 5600625 |
|  | NIRMALA NANDLAL GOENKA | ACUPG6554H | 1 | 51326250 | 0 | 0 | 51326250 | 16.19 | 51326250 | 0 | 51326250 | 16.19 | 0 | 16.19 | 0 | 0.00 | 0 | 0.00 | 51326250 |
|  | NAVNEET GOENKA | AEJPG4242H | 1 | 91126875 | 0 | 0 | 91126875 | 28.75 | 91126875 | 0 | 91126875 | 28.75 | 0 | 28.75 | 0 | 0.00 | 0 | 0.00 | 91126875 |
|  | DHRITI SINGHVI | AOGPS8935Q | 1 | 138000 | 0 | 0 | 138000 | 0.04 | 138000 | 0 | 138000 | 0.04 | 0 | 0.04 | 0 | 0.00 | 0 | 0.00 | 138000 |
|  | NEETA SARAF | AZBPS1234Q | 1 | 18750 | 0 | 0 | 18750 | 0.01 | 18750 | 0 | 18750 | 0.01 | 0 | 0.01 | 0 | 0.00 | 0 | 0.00 | 18750 |
| (b) | Central Government/State Government(s) |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (c) | Financial Institutions/Banks |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (d) | Any Other |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
|  | Sub-Total (A)(1) |  | 7 | 182429250 | 0 | 0 | 182429250 | 57.55 | 182429250 | 0 | 182429250 | 57.55 | 0 | 57.55 | 0 | 0.00 | 0 | 0.00 | 182429250 |
| (2) | Foreign |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 |  | 0.00 | 0 | 0.00 |  |
| (b) | Government |  | 0 | $\bigcirc$ | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (c) | Institutions |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (d) | Foreign Portfolio Investor |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (e) | Any Other |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Sub-Total (A)(2) |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
|  | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) |  | 7 | 182429250 | 0 | 0 | 182429250 | 57.55 | 182429250 |  | 182429250 | 57.55 | 0 | 57.55 | 0 | 0.00 | 0 | 0.00 | 182429250 |

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

| Category | Category \& Name of the Shareholder | PAN | $\begin{array}{\|l\|} \hline \begin{array}{l} \text { No of } \\ \text { Shareholders } \end{array} \\ \hline \end{array}$ | No of fully <br> paid up <br> equity <br> shares <br> held | $\|$No of Partly <br> paid-up <br> equity shares <br> held | No of <br> Shares <br> Underlying <br> Depository <br> Receipts | Total No of Shares Held (IV+V+VI) | Shareholding as a \% of total no of shares ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Voting Rights held in each class of securities |  |  |  | No of Shares <br> Underlying <br> Outstanding <br> convertible <br> securities <br> (Including <br> Warrants) | Shareholding <br> as a \% <br> assuming full <br> conversion of <br> convertible <br> Securities (as a <br> percentage of <br> diluted share <br> capital) | Number of Locked in Shares |  | Number of Shares pledged or otherwise encumbered |  | Number of <br> equity shares <br> held in <br> dematerialized <br> form$\|$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  | No of Voting Rights |  |  | Total as a \% of $(A+B+C)$ |  |  | No. | $\begin{array}{\|l} \hline \begin{array}{l} \text { As a \% } \\ \text { of total } \\ \text { Shares } \end{array} \\ \hline \end{array}$ | No. | $\begin{array}{\|l} \hline \text { As a \% of } \\ \text { total Shares } \\ \text { held } \end{array}$ |  |
|  |  |  |  |  |  |  |  |  | Class X ${ }^{\text {c }}$ | Class Y | Total |  |  |  |  |  |  |  |  |
|  | (I) | (II) | (III) | (IV) | (v) | (VI) | (VII) | (viII) | (IX) |  |  |  | (X) | (XI) | (xil) |  |  | (xIII) | (xiv) |
| (1) | Custodian/DR Holder |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 |  | 0.00 |  | 0.00 | NA | NA |  |
| (2) | Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014) |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 |  | 0.00 | 0 | 0.00 | NA | NA | 0 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Total Non-Promoter-Non Public Shareholding (C) = (C) $(1)+(C)(2)$ |  | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 |  | 0.00 |  | 0.00 |  |  | 0 |

Table III - Statement showing shareholding pattern of the Public shareholder


